Castor Oil and PEG-Based Shape Memory Polyurethane Films for Biomedical Applications

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#### Abstract

A series of polyurethane film were prepared from poly(ethylene glycol) with different molecular weight (PEG 1500, 3000, and 8000 ) and castor oil by one-shot bulk polymerization method. Hexamethylene diisocyanate and 1,4 -buthane diol were used as diisocyanate and chain extender, respectively. In order to characterize the samples, their density, swelling ratio, water contact angle, surface free energy, gel content, thermal, and viscoelastic properties were determined. The effect of the soft segment length (SSL) and hard segment content (HSC) of all polyurethane films on their shape memory behavior such as shape fixity ( $R_{f}$ ) and shape recovery $\left(R_{r}\right)$ rates were investigated by bending test. Direct contact and MTT tests were used for assessment of cell adhesion and proliferation. The relatively high $R_{f}$ and $R_{r}$ values were obtained for the samples programmed at high temperature difference. $R_{f}$ increased with decreasing HSC. On the other hand, $R_{r}$ tended to decrease with increasing SSL. After evaluating experimental data by a nonlinear equation, it was found that HSC is more effective parameter on shape memory property than SSL. The gel content, swelling ratio, and water contact angle of the samples were dependent on both SSL and HSC in their structures. © 2014 Wiley Periodicals, Inc. J. Appl. Polym. Sci. 2014, 131, 40590.


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## INTRODUCTION

Shape memory polymers (SMPs) are smart materials that have the ability to return from a deformed state (temporary shape) to their original shape induced by an external effect. ${ }^{1}$ The external effect can be thermal heating, electric field, magnetic field, light, etc. The commonly used effect is thermal heating due to the simplicity for setting. A thermosensitive shape memory polymer has a capability to recover its temporary shape to original shape above a transition temperature which is glass transition temperature or melting temperature.

Shape memory polyurethanes (SMPUs) are one of the most typical thermo-sensitive SMPs, which were extensively studied in the near past decades. A segmented SMPU has two phases (hard and soft segments) on its structures, and these segments cause phase separation. The crystalline part of polyurethane occurs in soft segment which forms reversible phase and also, it determines the shape recovery temperature. ${ }^{2-4}$ Soft segment absorbs the energy of external effect and keeps the material elastic at low temperature. On the other hand, the hard segment composes the frozen phase. Hydrogen bonding and dipoledipole interaction hold hard segments together, thus original
shape of the polymer is recovered on heating above the transition temperature. In brief, the performance of a SMPU is especially related to its chemical structure such as hard segment content (HSC), soft segment length (SSL), degree of hydrogen bonding, etc. ${ }^{5,6}$ Additionally, programming or shape-holding conditions also define the shape memory properties of polyurethanes. ${ }^{7}$
For the sake of sustainability, renewable sources are widely used as raw material for various applications. Among them, triglyceride oils are one of the most valuable renewable sources used for polymer preparation. ${ }^{8,9}$ In literature, oil based-SMPs were synthesized from a variety of oils and different aspects of their behaviors were investigated. For example, soybean oil-basedSMPs were successfully prepared, and their properties were investigated in relation to the chemical stoichiometry and the oil properties. ${ }^{10}$ It is reported that shape memory properties are closely related to the crosslinking densities and glass transition temperatures of the polymers. In other study, biocompatible and biodegradable porous castor oil based-polyurethane was synthesized via sol-gel chemistry by Lippincott. ${ }^{11}$ Additionally, amorphous or semicrystalline thermoset polyurethane networks were synthesized from castor oil based-polyols using ADMET
chemistry by Rio et al. ${ }^{12}$ They pointed out that semicrystalline polyurethane exhibits good shape memory properties.

Depending on the application, a SMP should have some properties. From a biomedical point of view, it is important to produce biodegradable and biocompatible SMP which has a high shape recovery rate at human body temperature. ${ }^{1,13,14}$ The main goal of this study is to prepare shape memory polyurethane films for tailored transition temperature close to human body temperature. For this purpose, two of the most important parameters which are polyurethane composition and programming conditions, were investigated. First catalyst- and solventfree synthesis of polyurethane was achieved from polyethylene glycol (PEG) and castor oil with varying HSC and SSL. Then their shape memory properties were determined by bending test with two different cooling rates in programming step, and the influence of HSC, SSL, and cooling rate on shape memory properties were investigated. In order to explain the polymer behavior, the influence of HSC and SSL on some polymer properties such as density, swelling ratio, water contact angle, surface free energy, gel content, thermal, and viscoelastic properties were also investigated.

## EXPERIMENTAL

## Materials

Castor oil (CO) with hydroxyl number $161.01 \mathrm{mg} \mathrm{KOH} / \mathrm{g}$ and acid number $0.99 \mathrm{mg} \mathrm{KOH} / \mathrm{g}$ was supplied by Sorel Chemicals. Polyethylene glycol (PEG) with a molecular weight of 1500 , 3000 or 8000 from Fluka and 1,6-hexamethylene diisocyanate (HDI) from Merck (analytical grade) were used in polymer synthesis. Also, 1,4-buthane diol (BDO) from Fluka was used as a chain extender.

## Polymer Synthesis

Polyurethanes were prepared by one-step bulk polymerization technique. PEG was dried on a rotary evaporator for 6 h at $90-$ $95^{\circ} \mathrm{C}$ and CO was dried at $80^{\circ} \mathrm{C}$ under vacuum for $24 \mathrm{~h} . \mathrm{BDO}$ was dried overnight at $50^{\circ} \mathrm{C}$ in a vacuum oven.
PEG and/or CO was added into a flask and mixed. Then, BDO ( $5.7 \%$ by weight) were added into the flask and mixed until obtaining a homogeneous mixture. The mixture poured into a three-necked reaction flask equipped with a mechanical stirrer, dropping funnel and $\mathrm{N}_{2}$ inlet and outlet. After heating the reaction mixture to $50^{\circ} \mathrm{C}, \mathrm{HDI}$ was added to the mixture at the stirring rate of 300 rpm . After 150 s , the reaction mixture was poured into the molds and placed in an oven at $80^{\circ} \mathrm{C}$. HDI was used in an amount such that the equivalent ratio of isocyanete groups to hydroxyl groups. The reaction was monitored by Fourier transform infrared (FTIR) spectroscopy. The disappearance of the absorption peak at $2250 \mathrm{~cm}^{-1}$, assigned to the $\mathrm{N}=\mathrm{C}=\mathrm{O}$ group, confirmed that all the diisocyanate was consumed in the reaction. This was usually achieved in about 20 h . The average functionality ( $f$ ) of CO was calculated to be 2.67, using eq. (1).

$$
\begin{equation*}
\frac{\text { MW }}{f}=\frac{56100}{\mathrm{HV}} \tag{1}
\end{equation*}
$$

where MW and HV are molecular weight ( $=932 \mathrm{~g} / \mathrm{mol}$ ) and hydroxyl value $(=161.01 \mathrm{mg} \mathrm{KOH} / \mathrm{g}$ sample) of CO , respectively.


Figure 1. Schematic representation of polyurethane structure.

A schematic representation of polymer structure for the polyurethane synthesized from PEG, CO, and HDI is given in Figure 1. Samples were designated by using the abbreviation PU-a-b, where $a$ indicates the molecular weight of PEG, and $b$ indicates the CO percentage in CO and PEG mixture.

## Polymer Characterization

FTIR spectra were obtained using a Perkin Elmer Spectrum One instrument. The thermal gravimetric analyzer (TGA) studies were carried out using a Perkin Elmer Diomand TGA, by heating from room temperature to $550^{\circ} \mathrm{C}$, under nitrogen atmosphere with a heating rate of $20^{\circ} \mathrm{C} / \mathrm{min}$. The differential scanning calorimeter (DSC) measurements were carried out on a Perkin Elmer Diamond DSC at the temperature ranging from -60 to $200^{\circ} \mathrm{C}$ with a heating rate of $10^{\circ} \mathrm{C} / \mathrm{min}$. The viscoelastic properties of polymer films were determined by Perkin Elmer Diamond DMA operating in tensile mode. The relaxation spectrum was scanned from -60 to $80^{\circ} \mathrm{C}$ with a frequency of 1 Hz and with a heating rate of $2^{\circ} \mathrm{C} / \mathrm{min}$. The crystallinity of polymer films was determined by using wide angle X-ray scattering, and calculated from the ratio of diffraction peak area and total diffraction area. ${ }^{15}$

Swelling ratio was determined by immersing polymer into distilled water at $37^{\circ} \mathrm{C}$ until equilibrium was reached, and determined gravimetrically by weighing the water saturated polymers after removing excess water from the polymer surfaces with a paper towel. Static contact angle and surface free energy measurements were conducted using KSV CAM200 goniometer by placing $5 \mu \mathrm{~L}$ of distilled water on the polymer surface. Gel content of the polymer was determined with Soxhlet extractor using acetone as solvent. Density of the polymer was determined at room temperature by Precisa balance XB 620 M .

## Crosslink Density and Average Molecular Weight Between Two Crosslinks

Crosslink density $\left(v_{c}\right)$ and average molecular weight between two crosslinks ( $M_{\mathrm{c}}$ ) were determined by two methods; the equilibrium swelling method according to the Flory-Rehner equation ${ }^{16}$ and the rubber elasticity method using DMA curves. ${ }^{17}$

Equation (2) was used to calculate $M_{c}$ in the equilibrium swelling method. Toluene was the solvent chosen for all the polymers in the calculations.

$$
\begin{equation*}
M_{C}=\frac{v_{s} d_{p}\left(V_{P}^{1 / 3}-V_{P} / 2\right)}{\ln \left(1-V_{P}\right)+V_{p}+\chi_{12} V_{P}^{2}} \tag{2}
\end{equation*}
$$

where $v_{\mathrm{S}}$ is the molar volume of the solvent, $V_{P}$ is the volume fraction of the polymer in the swollen state, and $\chi_{12}$ is the poly-mer-solvent interaction parameter of the solvent, which can be estimated by eq. (3).

$$
\begin{equation*}
\chi_{12}=0.34+\frac{v_{S}\left(\delta_{P}-\delta_{S}\right)^{2}}{\mathrm{RT}} \tag{3}
\end{equation*}
$$

where $\delta_{\mathrm{P}}$ and $\delta_{\mathrm{S}}$ are the solubility parameters of the polymer and solvent, respectively. Solubility parameters of the polymers were determined by swelling experiment. Measurements were performed at $25^{\circ} \mathrm{C}$. Polymers were swollen to equilibrium in several solvents such as toluene, acetone, 1,4-dioxane, n-methyl-2-pyrrolidone, and methanol. Their solubility parameters are $8.9,9.9,10,11$, and $14.5\left(\mathrm{cal} / \mathrm{cm}^{3}\right)^{1 / 2},{ }^{16}$ respectively. The equilibrium degree of swelling (Q) calculated according to eqs. (4) and (5) was plotted against the solubility parameters of the solvents.

$$
\begin{gather*}
V_{P}=\frac{W_{P} / d_{P}}{W_{P} / d_{P}+W_{S} / d_{S}}  \tag{4}\\
Q=\frac{1}{V_{P}} \tag{5}
\end{gather*}
$$

where $W_{P}$ is dry weight of polymer, $W_{S}$ is weight of the solvent, $d_{P}$ is the density of the polymer, and $d_{S}$ is density of solvent.

For calculation $M_{c}$ by rubber elasticity method the value of the storage modulus $\left(E^{\prime}\right)$ at the rubbery plateau $\left(20^{\circ} \mathrm{C}\right)$ was used in eq. (6). ${ }^{17}$

$$
\begin{equation*}
M_{C}=d_{p} \frac{3 \mathrm{RT}}{\mathrm{E}^{\prime}} \tag{6}
\end{equation*}
$$

where $R$ is the gas constant and $T$ is the absolute temperature $(K)$. Equation (7) was used for calculation of $v_{c}$ in both the equilibrium swelling and the rubber elasticity methods.

$$
\begin{equation*}
v_{C}=\frac{d_{P}}{M_{C}} \tag{7}
\end{equation*}
$$

## Shape Memory Property

Bending test was used to determine the shape memory property (Figure 2). ${ }^{18} \mathrm{~A}$ band of sample with a dimension of $5 \mathrm{~cm} \times 1.5$ $\mathrm{cm} \times 0.1 \mathrm{~cm}$ was cut and the test was applied in three different procedures. In the first procedure, the sample was heated to $65^{\circ} \mathrm{C}$ for 5 min and deformed to an angle $\theta_{\max }$ under force. Then, the deformed sample was cooled to $25^{\circ} \mathrm{C}$ for 5 min , the force released, and the angle was remeasured and recorded as a $\theta_{\text {fixed }}$. By being heated to $65^{\circ} \mathrm{C}$ for 5 min in oven, the sample was recovered its original shape and the final angle reached after recovery was measured, $\theta_{\text {final }}$. In the second procedure, similar steps of the first procedure were followed except the cooling step. In the cooling step, the sample was cooled from $65^{\circ} \mathrm{C}$ to $5^{\circ} \mathrm{C}$ in an ice bath, and so the test was performed at relatively high cooling rate. To simulate the performance of the samples in human body the third test was applied. For this purpose, the samples were deformed as in the second procedure and shape


Figure 2. Illustration of bending test method.
recovery rate of all samples was determined at $37^{\circ} \mathrm{C}$ in phosphate buffer solution (PBS).
In all tests, shape fixity rate $\left(R_{f}\right)$ and shape recovery rate $\left(R_{r}\right)$ were calculated via the eqs. (8) and (9). To test reusability of the polyurethanes as shape memory materials, shape recovery, and shape fixity tests were repeated six times for each polymer in various batch and the average of the results were calculated. In order to determine reusability of a sample, its shape memory behavior was determined in six consecutive measurements by bending test.

$$
\begin{gather*}
\mathrm{R}_{\mathrm{f}}=\frac{\theta_{\text {fixed }}}{\theta_{\text {max }}} \times 100  \tag{8}\\
\mathrm{R}_{\mathrm{f}}=\left(\frac{\theta_{\text {fixed }}-\theta_{\text {final }}}{\theta_{\text {fixed }}}\right) \times 100 \tag{9}
\end{gather*}
$$

## Cell Culture and Cytotoxicity of Polyurethane Films

Circular discs of 6 mm -diameter per sample were cut and then they were sterilized for 30 min in $70 \%$ ethanol containing 150 $\mathrm{U} / \mathrm{mL}$ antibiotics under UV light and then washed with PBS twice. Two methods were used for assessment of cell adhesion and proliferation; direct contact and 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) tests.

In the direct contact test, murine fibroblast NIH 3T3 cell line (seeding density 5000 per well) was precultured for 24 h in Dulbecco's modified essential medium (DMEM) supplemented with bovine serum ( $10 \%$ ) in tissue culture plates and then exposed for 24 h to the polymers placed in the center of each well in a humidified atmosphere (e.g., $37^{\circ} \mathrm{C}, 5.0 \% \mathrm{CO}_{2}$ ). The morphological changes indicating cytotoxicity and cell growth characteristics were observed using an inverted microscope (Olympus, Tokyo, Japan). Wells containing cells but no polymer were used as control.

In MTT, cells were allowed to attach for 24 h in the presence of individual polymers, then the medium was removed and $100 \mu \mathrm{~L}$ of growth medium with MTT ( $5 \mathrm{mg} / \mathrm{mL}$ in PBS) was added to the wells. MTT was converted to blue formazan crystals by intact mitochondrial reductase during a 4 h contact period. The medium was then removed and the precipitated crystals were dissolved in $100 \mu \mathrm{~L}$ of the solubilization buffer consisting of SDS-HCl. Finally, the reduced MTT was measured at 545 nm using a microplate reader. Wells containing cells but no polymer (positive control) were used to evaluate the effects of the polymers. Wells containing polymer but no cells were used as a


Figure 3. FTIR spectra of polyurethane films.
negative control. Reported values are the mean of three replicates and are expressed as percentages of the positive control values.

## RESULTS AND DISCUSSION

In order to investigate the effects of the soft segment length (SSL), the hard segment content (HSC), and the crosslink density on some polymer properties including shape memory property, the polyurethanes were synthesized with a varying ratio of CO to PEG from different molecular weight of PEG. HSC was adjusted in polymer structure by the weight ratio of CO to PEG, and it was calculated as the total weight ratio of CO, HDI, and BDO to all reactants. The molecular weight of PEG was assumed as the SSL of polymer.

## Polymer Synthesis and Characterization

All samples exhibit characteristic polyurethane peaks in their FTIR spectra (Figure 3). As mentioned in experimental part, the absence of the absorbance at around $2265 \mathrm{~cm}^{-1}$ for the final product indicated consuming of isocyanates and formation of polyurethane. ${ }^{19}$ The disappearance of the absorption peak at $3464 \mathrm{~cm}^{-1}$, assigned to the hydroxyl group, confirmed that all hydroxyl groups were consumed in the reaction. CO is an ester product of glycerol and ricinoleic acid, and hence the CO-based polyurethane chains include ester bonds besides urethane bonds. The peak around $1740 \mathrm{~cm}^{-1}$ is attributed to the carbonyl stretching of the ester group.
Two main regions are widely investigated for evaluation of FTIR spectrum of polyurethanes. They are amide $(\mathrm{N}-\mathrm{H})$ stretching vibration ( $3200-3500 \mathrm{~cm}^{-1}$ ) and carbonyl $(\mathrm{C}=\mathrm{O})$ stretching vibration in amide I ( $1650-1760 \mathrm{~cm}^{-1}$ ) regions. ${ }^{20}$ Hydrogenbonded urethane $\mathrm{C}=\mathrm{O}$ and $\mathrm{N}-\mathrm{H}$ vibration peaks appear around $1660 \mathrm{~cm}^{-1}$ and $3320 \mathrm{~cm}^{-1}$, respectively. All samples exhibit characteristic polyurethane peaks in their FTIR spectra
(Figure 3). FTIR spectra for two regions of all polyurethanes prepared in this study are shown in Figures 5 and 6. In order to evaluate FTIR spectrums of the samples, two polyurethanes were also synthesized from castor oil (PU-100) and PEG3000 (PU-3000-0). PU-100 was synthesized without PEG and PU-$3000-0$ was prepared without CO. Their spectrums are also added in Figures 3-5.
In the NH stretching region, two peaks are dominant at around 3320 and $3350 \mathrm{~cm}^{-1}$ for the polyurethanes prepared from both PEG and CO. On the other hand, the polyurethane based on only CO (PU-100) showed a strong peak at around $3316 \mathrm{~cm}^{-1}$, whereas polyurethane based on only PEG3000 (PU-3000-0) gave a peak at around $3354 \mathrm{~cm}^{-1}$. According to these results, the peaks at around 3320 and $3350 \mathrm{~cm}^{-1}$ were proposed for the samples prepared from both PEG and CO, belong to vibration of H -bonded NH for ester and ether structures, respectively. Similar explanation is given by Karak et al. ${ }^{21}$ The absence of a peak at around $3500 \mathrm{~cm}^{-1}$ indicates that there are no free NH groups in polyurethane structures. If there are, their concentration is below detection limit.
In the carbonyl stretching vibration in amide I region, a strong peak was obtained at $1717 \mathrm{~cm}^{-1}$ for the sample based on only PEG3000 (PU-3000-0). This indicates the presence of H-bonded urethane $\mathrm{C}=\mathrm{O}$ groups for ether structure. In the case of polyurethane based on only castor oil (PU-100), a strong peak was determined at around $1682 \mathrm{~cm}^{-1}$. This peak indicates the presence of H -bonded urethane $\mathrm{C}=\mathrm{O}$ groups for ester structure. For the samples prepared from both PEG and castor oil, these two peaks were observed in various intensities. The intensity of the peak at $1682 \mathrm{~cm}^{-1}$ decreased with decreasing the ratio of CO to PEG, since the amount of ester carbonyl decreased in polymer structure. The strong peak was determined for PU-8000-50, PU-3000-50, and PU-3000-70 at around $1716 \mathrm{~cm}^{-1}$ due to relatively high molecular weight PEG and low ratio of


Figure 4. FTIR spectra for NH stretching regions of polyurethane films.

CO to PEG. Expected results were obtained for PU-8000-50 and PU-3000-50. As compared the samples according to the molecular weight of PEG used in their synthesis, they were synthesized from the highest two molecular weight of PEG, and additionally, the amount of PEG in polymer structure was relatively high. Unexpected result was obtained for PU-3000-70. Although the amount of PEG is the smallest in its structure among the
polymers prepared in the study, the intensity of the peak indicating H-bonded urethane carbonyl groups for ether structure was relatively high. This may be due to effect of crosslinks. Since the amount of castor oil was relatively high in polymer structure, the polymer chains got close to each other, and so, H-bonds may occur between NH groups and urethane carbonyl groups for ether structure. Nevertheless, Oprea reported that


Figure 5. FTIR spectra for $\mathrm{C}=\mathrm{O}$ stretching regions of polyurethane films.


Figure 6. X-ray diffraction patterns of polyurethane films.
due to stearic hindrance of dangling chains coming from castor oil, the formation of hydrogen bonding was blocked in polyurethane structure. ${ }^{22}$

The evidences obtained from the region in the $1535-1545 \mathrm{~cm}^{-1}$ confirmed the results discussed above. The infrared band observed in the spectrum of all samples in this region indicates the $\mathrm{N}-\mathrm{H}$ bending vibration in the amide II region. The peak shifts from $1545 \mathrm{~cm}^{-1}$ to $1535-1537 \mathrm{~cm}^{-1}$ for the PU-1500-50 and PU-3000-60-coded polyurethanes. The similar trend was also observed for PU-100 and PU-3000-0. The shift to lower frequency is an indication of the weakening of the hydrogen bonds. ${ }^{23}$

As mentioned in literature, ${ }^{24}$ the free carbonyl peak coming from castor oil appeared around $1743 \mathrm{~cm}^{-1}$ for all samples, except PU-3000-0.

X-ray diffraction patterns of the polyurethanes are shown in Figure 6. The PU-3000-50, PU-8000-50, and PU-8000-60 samples exhibited some sharp peaks at around $2 \theta=18-23^{\circ}$, characteristic of PEG crystallinity. There are also the characteristic peaks of PEG in the X-ray pattern of other samples, but these are not strong. Degree of crystallinity for each polyurethane sample was calculated from XRD data (Table I). As expected, crystallinity of the polymers increased by decreasing castor oil
content and increasing molecular weight of PEG. The crystallinity of all samples was also determined from DSC data discussed in the following section.

## Thermal and Viscoelastic Properties

Since the TGA thermogram of a sample is overlapped with others, a few TGA thermograms are presented in Figure 7(a,b) for showing the trends. All samples decomposed in two steps. The first and second degradation steps occurred between 300$400^{\circ} \mathrm{C}$ and $400-500^{\circ} \mathrm{C}$, respectively. It is well known that polyurethanes decompose in two ${ }^{25}$ or three ${ }^{21,24}$ steps depending on the chemical structure of the hard and soft segments. Almost the same initial decomposition temperature (ca., $250^{\circ} \mathrm{C}$ ) was observed for the samples. Two intersection points between TGA curves of the samples were observed at about 350 and $425^{\circ} \mathrm{C}$. The decomposition temperatures of all samples in various weight losses are given in Table I.

Degree of crystallinity for polyurethane samples was also calculated from DSC data (Table I). For this purpose, the crystallinity was calculated from the ratio of the enthalpy of the samples ( $\Delta H_{m}$, Figure 8) and the enthalpy of melting of $100 \%$ crystalline PEG $\left(\Delta H_{m}\right.$ (PEG) $\left.=202.41 \mathrm{~J} / \mathrm{g}\right){ }^{26}$ Again, crystallinity increased with increasing molecular weight and amount of PEG in polyurethane structure. The crystallinity calculated from XRD and DCS data were not the same, particularly for high crystallinity, but a similar trend was observed.

The crystalline melting temperature $\left(T_{m}\right)$ was used as a transition temperature for shape memory behavior in this study. $T_{m}$ for each polymer obtained from its DSC curve is given in Table I. As expected, $T_{m}$ of polyurethanes increased with increasing SSL. For the PU-3000-coded polymers, $T_{m}$ increased with decreasing HSC. Since the $T_{m}$ value of PU-3000-50-coded polymer is close to the human body temperature, it is particularly suitable for biomedical applications. In literature, the importance of the transition temperature for biomedical applications is emphasized by Ahmad et al. ${ }^{14}$ Among several polyurethane-based SMPs, they proposed to use the samples having glass transition temperature ( $T_{g}$ ) of $35-45^{\circ} \mathrm{C}$ for medical devices, since their transition temperatures close enough to the body temperature.
Figures 9 and 10 show the dynamic mechanical behavior of all the prepared polyurethanes. All polymers exhibited two thermal transitions which are $T_{g}$ at lower temperatures and the melting of crystalline domain of soft segment at the higher temperatures. $T_{g}$ of polyurethanes shifted to higher temperature with increasing the molecular weight of PEG. $T_{g}$ highly depended on SSL. Polyurethane prepared with higher molecular weight of PEG had higher $T_{g}$. On the other hand, CO:PEG ratio did not affect the $T_{g}$ of polyurethanes. PU-3000-70-coded polyurethane showed wider plateau of storage modules (Figure 10) than the others, which shows a well-developed network structure. This might be due to the microphase separation between soft and hard segments. The glass transition temperatures $\left(T_{g}\right)$ of all polyurethanes obtained from DMA measurements are below room temperature, consequently they are rubbery at human body temperature (Table II). The height of $\tan \mathrm{D}$ graph gives knowledge on chain mobility in polymer systems. ${ }^{27}$ According to the

Table I. Crosslink Density and Average Molecular Weight Between Two Crosslinks of Polyurethane Films and Thermal Properties

| Code | $T_{m}\left({ }^{\circ} \mathrm{C}\right)$ | $X_{c}$ (\%) by: |  | $T_{g}\left({ }^{\circ} \mathrm{C}\right)$ by: |  | $\begin{gathered} v_{c} \times 10^{3} \\ \left(\mathrm{~mol} / \mathrm{cm}^{3}\right) \text { by: } \end{gathered}$ |  | $M_{c}$ (g/mol) by: |  | Decomposition temperature determined by TGA at weight loss of; |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | XRD | DSC | DMA | DSC | DMA | FloryRehner | DMA | FloryRehner | 10\% | 50\% | 90\% |
| PU-1500-50 | 25.4 | 22.7 | 14.6 | -41.3 | -56.4 | 1.65 | 3.10 | 655 | 349 | 318 | 407 | 454 |
| PU-1500-60 | 24.4 | - | 3.9 | -33.2 | -55.4 | 1.61 | 3.12 | 667 | 343 | 321 | 395 | 444 |
| PU-1500-70 | 23.3 | - | - | -36.7 | -52.0 | - | 3.16 | - | 335 | 322 | 399 | 454 |
| PU-3000-50 | 38.9 | 33.2 | 29.4 | -24.5 | -32.1 | 2.13 | 1.43 | 509 | 734 | 327 | 409 | 445 |
| PU-3000-60 | 33.2 | 31.1 | 6.5 | -24.7 | -31.3 | 0.68 | 2.06 | 1574 | 520 | 3287 | 406 | 451 |
| PU-3000-70 | 20.5 | - | - | -25.5 | -30.1 | 0.24 | 2.23 | 4386 | 466 | 327 | 400 | 453 |
| PU-8000-50 | 51.4 | 37.0 | 27.7 | -21.7 | -26.0 | 4.61 | 0.96 | 232 | 1109 | 314 | 411 | 456 |
| PU-8000-60 | 54.4 | 35.9 | 10.0 | -22.3 | -25.7 | 2.53 | 1.12 | 421 | 953 | 319 | 394 | 443 |
| PU-8000-70 | 53.9 | 33.1 | 4.5 | -19.4 | -22.2 | 1.43 | 1.40 | 736 | 752 | 325 | 403 | 451 |

data obtained for polyurethanes prepared in this study, the PU-$3000-50$, PU-8000-50, and PU-8000-60 films had the lowest chain mobility due to the lowest peak heights (Figure 10). This result can be evaluated together with XRD and DSC results that among all samples the crystallinity of the related samples had the highest value. Thus, the crystalline phases acted as barriers for polymer chains against moving under applying force in DMA measurements.

## Crosslink Density and Average Molecular Weight Between Two Crosslinks

Both chemical and physical crosslinks are very important factors on some properties of polyurethanes including shape memory property. Generally, two methods are used for determination of crosslink density; namely the equilibrium swelling and the rubber elasticity methods. ${ }^{28}$ The data obtained by the equilibrium swelling method according to Flory-Rehner equation are evaluated for an explanation of the effect of the chemical crosslinks. On the other hand, total crosslinks (physical and chemical crosslinks) can be determined by the rubber elasticity method using DMA data. Thus, the effects of physical crosslinks can be emphasized with evaluating data together obtained from two methods.

For the polyurethane films prepared in this study, crosslink density $\left(v_{c}\right)$ and average molecular weight between two crosslinks $\left(M_{c}\right)$ estimated accordingly by two methods are given in Table I. As expected, the crosslink density determined by the equilibrium swelling method decreased with increasing both the amount and the molecular weight of PEG in polyurethane structure. In other words, the crosslink density decreased with decreasing HSC for the PEG 3000-based polyurethanes, and with increasing SSL for the polymers prepared with a $50: 50$ ratio of CO and PEG. The average molecular weight between two crosslinks is inversely proportional to crosslink density.
Since total of the chemical and physical crosslinks can be determined by the rubber elasticity method, ${ }^{29}$ the crosslink density calculated from DMA curves should be higher than those
obtained by the equilibrium swelling method. Additionally, it is expected that increasing castor oil content in polymer structure (increasing CO : PEG ratio) should also increase crosslink density. The unexpected results were obtained for the polymers prepared in this study. This may be due to the existence of the dangling chains origin from castor oil. The dangling chains may act as plasticizer, thus lowering the $E^{\prime}$ value which was used for calculation of $M_{c}$ and $v_{c}$. The similar explanation was reported for the triolein-based polyurethane networks by Zlatanic et al. ${ }^{29}$ It was pointed out that the plasticizing effect of dangling chains


Figure 7. TGA curves of polyurethane films.


Figure 8. DSC curves of polyurethane films.
in polymer network. From these results it is assumed that the rubber elasticity method is not suitable for determination of $M_{c}$ and $v_{c}$ of the polymers prepared in this study.

## Shape Memory Properties

Shape memory property was not observed for the polymers when the ratio of CO: PEG was higher than $70: 30$ in polymer synthesis. Since it is not possible to prepare polymers lower than $50: 50$ of CO:PEG ratio due to their poor mechanical properties, the polyurethanes with $50: 50,60: 40$, and $70: 30$ ratio were prepared and their shape memory properties were investigated.

It is well known that SSL, HSC, crosslink density, glass transition/melting temperature, and crystallinity play very important roles in the shape memory behavior of the polymers. ${ }^{10,30}$ Generally, the effects of these parameters are investigated in a series of experiments. In this study, the influences of all these parameters on shape memory properties were also investigated. The experiments were performed at constant SSL with varying HSC. Crosslink density, $T_{g}$ and crystallinity of each polymer were


Figure 9. Storage modulus of polyurethane films.
highly depended on the ratio of CO to PEG and the molecular weight of PEG.
The shape fixity and shape recovery rates ( $R_{f}$ and $R_{n}$ respectively) given in Table II, are the average values of six measurements. As shown, both of them increased with decreasing HSC for two cooling rates. Among PEG 3000-based polyurethanes, the highest $R_{r}$ and one of the highest $R_{f}$ were obtained for PU-3000-50 which has one of the highest crystallinity, and one of the lowest HSC and crosslink density (Figure 6 and Table I). The lowest $R_{f}$ and $R_{r}$ were obtained for the PU-3000-70-coded polymer which is amorphous structure and contains the lowest PEG. Although PU-3000-60 and PU-3000-50-coded polymers have the same SSL, different shape fixity rates were obtained for each one, due to the differences in their crosslink densities and HSC. The similar results are reported by other researchers. ${ }^{10,30,31}$ In fact, the crystallinity, HSC and crosslink density were related to each other, and all of them depended on the CO : PEG ratio and the molecular weight of PEG. Taking into account the shape memory properties of all polymers the optimum properties were achieved in the case of PU-3000-50.


Figure 10. Tan D of polyurethane films.

Table II. Shape Memory Properties of Polyurethane Films

| Code | $R_{f}(\%)$ at: |  | $R_{r}$ (\%) at: |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Low cooling rate ${ }^{\text {a }}$ | High cooling rate ${ }^{\text {b }}$ | Low cooling rate ${ }^{\text {a }}$ | High cooling rate ${ }^{\text {b }}$ | High cooling rate ${ }^{\text {c }}$ |
| PU-1500-50 | 83.1 | 89.8 | 94.1 | 96.7 | 61.7 |
| PU-1500-60 | 76.0 | 81.3 | 93.1 | 94.1 | 41.4 |
| PU-1500-70 | 50.1 | 61.1 | 82.0 | 88.7 | 76.2 |
| PU-3000-50 | 90.6 | 92.3 | 93.9 | 96.3 | 87.8 |
| PU-3000-60 | 66.8 | 68.5 | 88.3 | 91.8 | 61.5 |
| PU-3000-70 | 47.8 | 57.4 | 84.1 | 88.6 | 78.3 |
| PU-8000-50 | 94.6 | 97.7 | 92.6 | 94.0 | 13.3 |
| PU-8000-60 | 90.1 | 93.4 | 91.6 | 92.0 | 31.0 |
| PU-8000-70 | 71.7 | 84.5 | 87.6 | 91.7 | 42.7 |

${ }^{\text {a }}$ Deformation at $65^{\circ} \mathrm{C}$, shape fixity at $25^{\circ} \mathrm{C}$, and shape recovery at $65^{\circ} \mathrm{C}$ in air.
${ }^{\text {b }}$ Deformation at $65^{\circ} \mathrm{C}$, shape fixity at $5^{\circ} \mathrm{C}$, and shape recovery at $65^{\circ} \mathrm{C}$ in air.
${ }^{\circ}$ Deformation at $65^{\circ} \mathrm{C}$, shape fixity at $5^{\circ} \mathrm{C}$ and shape recovery at $37^{\circ} \mathrm{C}$ in PBS.

Table III. Density, Swelling Ratio, Gel Content, Water Contact Angle, and Surface Free Energy of Polyurethane Films

| Code | SSL (g/mol) | HSC (\%) | Density ( $\mathrm{g} / \mathrm{cm}^{3}$ ) | Swelling ratio (\%) | Gel content (\%) | Water contact angle ( ${ }^{\circ}$ ) | Surface free energy ( $\mathrm{mN} / \mathrm{m}$ ) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| PU-1500-50 | 1500 | 65.5 | 1.0835 | 34 | 90 | 69 | 41.1 |
| PU-1500-60 |  | 72.9 | 1.0765 | 29 | 93 | 72 | 39.4 |
| PU-1500-70 |  | 80.1 | 1.0616 | 18 | 96 | 76 | 36.6 |
| PU-3000-50 | 3000 | 63.7 | 1.0812 | 67 | 82 | 61 | 49.4 |
| PU-3000-60 |  | 71.9 | 1.0735 | 42 | 78 | 65 | 45.8 |
| PU-3000-70 |  | 79.6 | 1.0504 | 24 | 94 | 70 | 37.7 |
| PU-8000-50 | 8000 | 62.5 | 1.0702 | 70 | 69 | 51 | 56.3 |
| PU-8000-60 |  | 71.1 | 1.0657 | 61 | 69 | 54 | 52.2 |
| PU-8000-70 |  | 79.2 | 1.0499 | 39 | 71 | 56 | 51.3 |

Since hard and soft segments independently affect the shape recovery rate, not only the correlation between the $R_{f}$ and independent variables, but also the effect of the interaction of HSC and SSL were sought with eq. (10),


Figure 11. The swelling kinetic data of the PU-3000-50.

$$
\begin{equation*}
R_{r}=a(\mathrm{SSL})+b(\mathrm{HSC})+c(\mathrm{SSL})(\mathrm{HSC}) \tag{10}
\end{equation*}
$$

where $a, b$, and $c$ are the coefficients. For calculations the data obtained at high cooling rate in programming step were used. The coefficients $a, b$ and $c$ were calculated as $0.0404,1.5404$,


Figure 12. Proliferation of NIH 3T3 cells on polyurethane films (MTT assay results).
and $-6.5 \times 10^{-4}$, respectively. Since the coefficient of the HSC, $b$, is greater than the others, it can be concluded that the HSC is the significant parameter on shape recovery rate for the polyurethanes synthesized in this study.

It is well known that if the transition temperature is a melting point, the shape memory properties of the polymer are influenced by programming parameters such as the cooling rate, the lowest temperature ( $T_{\text {low }}$ ) in cooling step and the time period when the sample is held at $T_{\text {low. }}{ }^{32}$ In order to determine the effect of programming parameters on shape memory behavior of the polymers prepared in this study, the bending test was applied in two different temperature ranges; high (from 65 to $5^{\circ} \mathrm{C}, \Delta T=60$ ) and low (from 65 to $25^{\circ} \mathrm{C}, \Delta T=40$ ) temperature ranges. Thus, either cooling rate or $T_{\text {low }}$ could be changed. It was found that the high temperature difference for fixing temporary shape caused to increase shape fixity and shape recovery rates. The shape recovery rate is relatively low in PBS medium at $37^{\circ} \mathrm{C}$ for all polymers. The best result was obtained for PU-3000-50 (Table II).

Six consecutive measurements were done by bending test in order to determine repeated use of each sample as shape memory material. The maximum decrease in performance of the films was $2 \%$ after 6th cycle. The crystallinity of the samples was determined by DSC after fixing and after shape recovery. It was found that fixing and recovery have no effect on the crystallinity of the prepared PU samples.

## Density, Swelling Ratio, Gel Content, and Surface Free Energy

Density, swelling ratio and gel content of the polymers are given in Table III. Density of the polyurethanes tended to decrease with increasing CO content. The gel content increased with decreasing SSL.
The swelling ratio of the polymers depended on both HSC and SSL; increased with decreasing HSC but decreased with decreasing SSL. The swelling kinetic data of the PU-3000-50 which shows the optimum properties and shape memory performances are shown in Figure 11. As can be seen, the film took 30 $\min$ to reach an equilibrium.
Water contact angle and surface free energy of the polymers are given in Table III. Water contact angle is proportional to HSC due to the hydrophobic structure of castor oil, and inversely proportional to SSL due to hydrophilic structure of PEG. It is well known that, water contact angle is inversely proportional to surface free energy.

## Cytotoxicity of Polyurethane Films

The results of the MTT test indicated, in general, that the polymers did not demonstrate any cytotoxicity. Each of them had a proliferation ratio of more than $80 \%$ when MTT test was used with NIH 3 T3 cells (Figure 12). The PU-100 has the maximum proliferation ratio, which is very close to the positive control.

When the direct contact test was used for determination of cytotoxicity, morphological observations revealed cells with normal morphology, spreading, and growing to confluency on all types of surfaces, but not the series of PU-8000. The PU-100


Figure 13. Images of the NIH 3 T 3 cells attached on the surface of the polyurethane films.
has the most similar morphological image to the control (Figure 13). Spreading on the surface of the polymer series of PU-1500 was much more than the others and did not induce changes in
the cell morphology. The maximum spreading was observed on the surface of PU-3000-50 in the series of the PU-3000. When the series of PU-8000 were examined, they induced changes in the cell morphology and adhesion of fibroblasts exposed to the polymers. There were fewer cells attached to surfaces.

## CONCLUSIONS

Polyurethane hydrogels were successfully synthesized from castor oil, which is natural polyol, and polyethylene glycol in different SSL and HSC. Thus, polyurethanes having various crystallinity, density, hydrophilicity, swelling ratio, melting, and glass transition temperatures, and crosslink density were obtained, and their physical and shape memory properties could be correlated to their chemical structure. The main results of the study can be resumed in the following items:

1. The crystallinity, swelling ratio, surface free energy, melting temperature, and average molecular weight between two crosslinks of the polymers increased by decreasing HSC and increasing SSL.
2. The shape memory properties of polyurethanes were determined by bending test applied at two different cooling rates in programming step. Improved shape memory properties were obtained at high cooling rate.
3. The shape memory properties were closely related to the HSC. The polyurethane prepared with relatively low HSC, showed improved shape memory behavior.
4. According to the $R_{f}$ and $R_{r}$ results, optimum amount of castor oil in polyurethane structure was suggested as $50 \%$ (by weight) of total polyol component. After taking $T_{m}$ values of the polymers into account PU-3000-60 and PU-3000-50 films can be used in biomedical applications, since their $T_{m}$ are close to human body temperature. All results indicate that PU-3000-50-coded polyurethane has a high potential as shape memory biopolymer.
5. The polymers did not exhibit cytotoxicity.

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